



3743

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. 10/612,434

Applicants: Alan Wu et al
Group Art Unit: 3743
Filing Date: July 2, 2003
Title: BAFFLED SURFACE COOLED HEAT EXCHANGER
Attorney Docket No.: 60680-705

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non- United States reference listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

All of the references listed on the attached Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

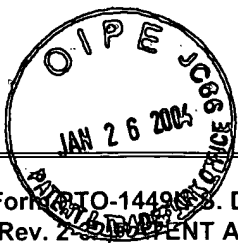
EXECUTED at Toronto, Ontario, Canada, this 15th day of December 2003.

Respectfully submitted,

By:

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 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-2001) PATENT AND TRADEMARK OFFICE		Attorney Docket No. 60680-705	Serial No. 10/612,434			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)		Applicants: Wu <i>et al</i>				
		Filing Date July 2, 2003	Group Art Unit: 3743			
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	3,650,310	03/21/72	Childress			
	4,072,188	02/07/78	Wilson <i>et al</i>			

FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No
	259,824	10/21/1926	U.K.				
	WO 94/23257 A1	10/13/1994	WO				
	WO 03/071213 A1	08/28/2003	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Wu, et al.

Docket No.

60680-705

Serial No.

10/612,434

Filing Date

07/02/2003

Examiner

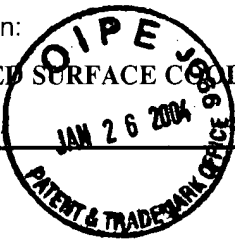
UNKNOWN

Group Art Unit

3743

Invention:

BAFFLED SURFACE COOLED HEAT EXCHANGER



I hereby certify that this Supplemental IDS, PTO-1449 International Search Report, 3 Foreign References
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Lori Tersigni

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A handwritten signature in cursive script, appearing to read "Lori Tersigni".

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